



Subrecipient Risk Assessment for New Subrecipients

How to use: The following criteria indicate those subrecipients that have higher risk. If a subrecipient meets any of the following criteria, they require the monitoring plan specified for higher risk subrecipients.

Category	Higher Risk
1. Foreign vs. Domestic	International location (not including Canada or US territories).
2. Facilities and Infrastructure	Subrecipient's lab resources are inadequate; Facilities are "virtual facilities;" Work occurs in remote, inaccessible location that experiences extended or frequent unexpected power outages that impede communication in a non-English speaking environment.
3. Maturity of Organization	Start up or no prior experience with similar subawards.No fiscal controls in place yet or substantially changed systems.
4. Amount of Award Subcontracted	Funding level >\$500K or >49% of award
5. Accounting/Procurement Systems	One or more of the following: 1) No systems in place; 2) Systems are new; 3) No Uniform Guidance single audit.
6. Audit Restrictions	Subrecipient places restriction on auditors.
7. Export Control	Organization or project involves export controlled products/people/activity.
8. Cost Sharing	Subrecipient has committed to fund project costs not paid by the UT subaward.