

# Subrecipient Risk Assessment for New Subrecipients

**How to use:** The following criteria indicate those subrecipients

that have higher risk. If a subrecipient meets any of the

following criteria, they require the monitoring plan specified for

higher risk subrecipients.

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| **Category** | **Higher Risk** |
| **1. Foreign vs. Domestic** | International location (not including Canada or US territories). |
| **2. Facilities and Infrastructure** | Subrecipient’s lab resources are inadequate; Facilities are “virtual facilities;” Work occurs in remote, inaccessible location that experiences extended or frequent unexpected power outages that impede communication in a non-English speaking environment. |
| **3. Maturity of Organization** | Start up or no prior experience with similar subawards.No fiscal controls in place yet or substantially changed systems. |
| **4. Amount of Award Subcontracted** | Funding level >$500K or >49% of award |
| **5. Accounting/Procurement Systems** | One or more of the following: 1) No systems in place; 2) Systems are new; 3) No Uniform Guidance single audit. |
| **6. Audit Restrictions**  | Subrecipient places restriction on auditors.  |
| **7. Export Control** | Organization or project involves export controlled products/people/activity. |
| **8. Cost Sharing** | Subrecipient has committed to fund project costs not paid by the UT subaward. |