

# XP Category 5 Assent Template

## Use this template if:

1. Your research involves **the analysis of materials originally collected for non-research purposes** (usually Expedited Category 5, sometimes Full Board) **AND**
2. Participants are Minors

In order to use materials from minors, you must first obtain Parental Permission. Then, you must obtain written Assent from children ages 7 and older.

There are two procedural options, select the one that fits your study's circumstances best.

### Either

Use two separate forms:

1. Parental Permission (see separate template)
2. Child Assent (this form)

### Or

Use a combined Parental Permission/Assent form (see separate template)

## Before you start: Read these tips!

### 1. Customize this template to reflect the specifics of your study.

- **Black text** – It is best to keep all language and formatting, including bolding, unless otherwise noted. If you do revise, please consult the standards document to be sure you are including all required elements of consent.
- **Blue text identifies consent elements required for ALL studies** and includes guidance on characteristics of that element that researchers should consider. Replace the blue text with the appropriate words for this required element that apply to your study.
- **Red text identifies elements required only when applicable to your study.** Not all elements will apply to all studies. Replace the red text with the appropriate words for this required element that apply to your study.
- **Green text shows suggested language or examples** related to consent elements in **blue** and **red** text.
- **Highlighted black text** provides instructions.

Color Code Key				
Black	Blue	Red	Green	Highlighted Text
Required Template Text	Required Element of Informed Consent	Required Element when applicable	Suggested Language/Examples	Instruction

### 2. For additional guidance and language suggestions, consult the **Consent Form Standards and Sample Language** document.

### 3. Before submitting the consent document to the IRB:

- **Remove all red and blue** (instructional) **text; if any suggested language will be retained, change text color to black.**
- **Remove all highlighting**
- **Remove this page.**
- **Failure to do the above** will result in return of your application without review.

Title of Research Project  
Assent Form

My name is [name of investigator](#) and I am conducting research at the University of Tennessee, Knoxville, to see how well [name of program/class/workshop/etc that is being studied](#) works. [I/we](#) plan to publish articles [and/or books,etc](#) and make presentations at conferences to share the results of this research with other [scientists/researchers/teachers/social workers/etc](#) who want to know how to do a good job [teaching/etc](#) about [topic](#).

What I will do in this research is analyze [list anything else here that you wish to analyze: the materials you created during your time in name of program, class, workshop, etc., the pre- and post-surveys you completed to tell us what you thought about it/what you learned, records? artifacts? etc.](#) If the list is long, [use bullets](#). Because these are all things that are part of your regular activities in [name of program, class, workshop, etc.](#), being in the research will not take any more of your time. Your parent has given their permission, and so I'm asking if it's OK with you for me to use these materials in my research.

If you agree to be in the research, [I/we](#) will assign you a [fake name/code number or state other plans](#) and use that instead of your name on all of the materials. Your real name will never be used in any articles or books or presentations [unless you and your parent say it is OK](#).

If you have any questions about this research, please ask me before you sign this form. If you think of questions later, please contact me, [name of researcher, at netID@utk.edu](#) or [telephone number or my advisor, name of advisor, at netID@utk.edu or telephone number—required for student studies](#). If you have any complaints or concerns about being in this research, you may contact the Institutional Review Board (IRB) of the University of Tennessee, Knoxville, at [utkirb@utk.edu](#) or 865-974-7697. It is their job to protect the people who are in research studies.

It is completely up to you to decide to be in this research study. Even if you decide to be part of the study now, you may change your mind at any time and stop participating by [insert here procedures for discontinuing participation](#). You can keep being in [name of program, class, workshop, etc.](#) even if you do not want to be in the research.

If you agree to be in the research, please sign the **Assent** section, on both copies of this form. Return one copy to [specify](#) and keep one copy for your records. If you do not wish to be in the research, you don't need to do anything, as [I/we](#) cannot use your materials without your assent.

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**Child/Youth Assent** [Be sure this entire section fits on one page](#)

I agree that [name of researcher](#) may use my [materials/information/biospecimens](#) for research purposes. If I change my mind, and decide not to participate later, I only need to [insert here procedures for discontinuing participation](#).

Youth Name (printed) \_\_\_\_\_

Youth Signature \_\_\_\_\_ Date \_\_\_\_\_

If the materials to be analyzed include images or videorecordings, these should have been listed in the Parent Permission form and in the relevant sections above, and the additional signature line below should be added. ***If appropriate, use additional signature lines for other uses*** (e.g., teaching, publication, presentation)

**Assent for use of images**

I agree that [photographs/videorecordings](#) of me from [name of program, class, workshop, etc.](#) may be analyzed for research purposes.

Youth Signature \_\_\_\_\_ Date \_\_\_\_\_